

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO.: EV351237891US

Applicant : Hidenori Taga, et al.
Application No. : Not Yet Assigned
Filed : February 19, 2004
Title : OPTICAL RECEIVING APPARATUS AND METHOD

Grp./Div. : Not Yet Assigned
Examiner : Not Yet Assigned

Docket No. : 51883/DBP/T360

INFORMATION DISCLOSURE STATEMENT
FOR A CONTINUING NON-CPA APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PostOffice Box 7068
Pasadena, CA 91109-7068
February 19, 2004

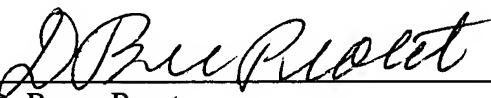
Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, enclosed is FORM PTO/SB/08A/B. The references listed thereon are not enclosed because they were of record in a parent application, Application No. 10/460,895, filed June 12, 2003.

It is respectfully requested that these references be considered in the examination of this application, and identified in the list of references cited on the patent issuing on this application.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 
D. Bruce Prout
Reg. No. 20,958
626/795-9900

DBP/dlf
AAM PAS550927.1-*-02/19/04 1:25 PM

| | | |
|---|-------------------------------|-----------------------|
| FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B | Attorney Docket Number | 51883/DBP/T360 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | Application Number | Not Yet Assigned |
| | Filing Date | February 19, 2004 |
| | Applicant(s) | Hidenori Taga, et al. |
| | Group Art Unit | Not Yet Assigned |
| | Examiner Name | Not Yet Assigned |

| U.S. PATENT DOCUMENTS | | | | |
|-----------------------|-----------------------|---|--------------------------------|------------------|
| EXAMINER INITIALS | Cite No. ¹ | DOCUMENT NUMBER Number - Kind Code ² (If Known) | Publication Date MM-DD-YYYY | Name of Patentee |
| | | US 4,481,676 | 11-06-1984 | Eumurian et al. |
| | | US 4,823,360 | 04-18-1989 | Tremblay et al. |
| | | US 5,001,726 | 03-19-1991 | Kawai et al. |
| | | US 5,274,674 | 12-28-1993 | Lafon, Philippe |
| | | US 5,585,954 | 12-17-1996 | Taga et al. |
| | | US 6,351,322 B1 | 02-26-2002 | Ransford et al. |

| FOREIGN PATENT DOCUMENTS | | | | | |
|--------------------------|-----------------------|---|--------------------------------|--|--------------------------|
| EXAMINER INITIALS | Cite No. ¹ | FOREIGN PATENT DOCUMENT Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | T ⁶ (✓) |
| | | JP 56-90634 | 07-22-1981 | Fujitsu Ltd. | Patent Abstract of Japan |
| | | EP 0 113 082 A2 | 07-11-1984 | Fuji Electric Co. Ltd. | |

| OTHER DOCUMENTS | | |
|-------------------|-----------------------|--|
| EXAMINER INITIALS | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
| | | European Search Report dated August 8, 2001 corresponding to European patent application number EP 00 10 8680 |
| | | Patent Abstract of Japan, Publication number 56,090634, published July 22, 1981 |
| | | N.S. BERGANO et al., "Margin Measurements in Optical Amplifier Systems", IEEE Photonics Technology Letters, Vol. 5, No. 3, March 1993, pp. 304-306 |
| | | |

AAM PAS550936.1-*02/19/04 1:22 PM

| | | | |
|---|--|-----------------|--|
| EXAMINER SIGNATURE | | DATE CONSIDERED | |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³ Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached. | | | |